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TO: EXAMINER JAMES H.
ZURITA

(571) 273-8300 (FAX)

FROM: JOHN T. PIENKOS

APPLICANT, U.S. PATENT
APPLICATION NO.

09/724,744

(414) 228-6881 (FAX)

DATE: 3/12/07

RE: SUPP. IDS

(24 pages
including cover
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I hereby certify that this correspondence is being faxed to Examiner James Zurita at the U.S. Patent and Trademark Office at (571) 273-8300 on the following date: March 12, 2007

*J. Pienkos***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Pienkos, John T.
Serial No.: 09/724,744
Filed: November 28, 2000
For: METHOD AND SYSTEM FOR FACILITATING THE TRANSFER OF
INTELLECTUAL PROPERTY
Grp. Art Unit: 3625

**SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The Applicant in the interests of meeting the duty of disclosure is submitting certain documents herewith.

In particular, the enclosed documents are being submitted in the above-identified Application in compliance with 37 CFR 1.97 & 1.98:

- ☒ (1) Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to mailing of 1st Office Action)
- ☐ (2) Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (3) Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- ☐ (4) Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) (before or on issue fee payment)
- ☐ (5) A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ (6) 37 CFR 1.97(b) (within 3 months of filing or prior to 1st Office Action)
- ☐ (7) 37 CFR 1.97(c) (before Final Office Action or Allowance, whichever is earlier); and
- ☐ (8) The required certification made in item 11 below; or

- ☐ (9) The \$240.00 fee specified in 36 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ (10) 37 CFR 1.97(d) (before or on issue fee payment); and
 - ☐ [a] This is a petition for consideration of the subject Information Disclosure Statement. The petition fee of \$180.00 required by 37 CFR 1.17(p) is authorized in item 14 below.
 - ☐ [b] The required Certification is stated in item 11 below.
- ☐ (11) Certification
 - ☐ (12) Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ (13) No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ (14) Payment of the petition fee of \$180.00 required by 37 CFR 1.17(p) by credit card is hereby authorized. Form PTO-2038 is attached.

Respectfully submitted,

Date:

3/12/07

By:



John T. Pienkos
(414) 228-6881

MAR 12 2007

PTO/SB/08b (09-08)

Approved for use through 03/31/2007. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
				Application Number	09/724,744
				Filing Date	11/28/00
				First Named Inventor	JOHN T. PIENKOS
				Art Unit	3625
				Examiner Name	JAMES H. ZURITA
Sheet	2	of	1	Attorney Docket Number	

[illegible]

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique class and designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.